

**STATE OF NEW YORK  
DEPARTMENT OF FINANCIAL SERVICES**

**DATA REQUIREMENTS FOR  
MUNICIPAL COOPERATIVE HEALTH BENEFIT PLANS**

Rochester Area School Health Plan II Municipal Cooperative Health Benefit Plan

Name of MCHBP

FOR THE FISCAL QUARTER ENDING

June 30, 2021

To be filed 45 days from fiscal quarter end

Two copies of this Form bearing original signatures and notarization should be filed with  
the Department of Financial Services at the following address:

New York State Department of Financial Services

Health Bureau

One State Street, 11th Floor

New York, New York 10004



## REPORT #1 — PART A: ASSETS

	Current Quarter	Previous Year *
	1 Total	2 Total
1. Bonds (Schedule B line 0199999, Page NY 9)	-	-
2. Stocks:		
2.1 Preferred stocks (Schedule B line 0299999, Page NY9)	-	-
2.2 Common stocks (Schedule B line 0399999, Page NY 9)	-	-
3. Real estate		
4.1 Cash (Schedule A Line 0399999, Page NY 8)	76,600,907	117,795,298
4.2 Cash equivalents (Schedule A Line 0499999, Page NY 8)	59,103,900	4,680,200
4.3 Total Cash and Cash equivalents (Schedule A Line 0599999, Page NY 8)	135,704,807	122,475,498
5. Premiums receivable (Schedule C, NY 10)	2,249,847	10,656,965
6. Other invested assets		
7. Receivable for securities		
8. Aggregate write-in for invested assets	-	-
9. Subtotal cash and invested assets (Lines 1 to 8)	137,954,654	133,132,463
10. Investment income due and accrued		
11. Reinsurance:		
11.1 Amounts recoverable from reinsurers		
11.2 Funds held by or deposited with reinsured companies		
11.3 Other amounts receivable under reinsurance contracts		
12.1 Current federal income tax recoverable and interest thereon		
12.2 Net deferred tax asset		
13. Electronic data processing equipment and software		
14. Furniture and equipment, including health care delivery assets		
15. Health care and other amounts receivable		
16. Aggregate write-in for other than invested assets	-	-
17. Total Assets(Lines 9 to 16)	137,954,654	133,132,463
<b>DETAILS OF WRITE-INS AGGREGATED AT ITEM 8 FOR INVESTED ASSETS</b>		
0801. _____		
0802. _____		
0802. _____		
0804. _____		
0805. _____		
0898. Summary of remaining write-ins for Item 8 from overflow page	-	-
0899. TOTALS (Items 0801 thru 0805 plus 0898) (Page 2, item 8)	-	-
<b>DETAILS OF WRITE-INS AGGREGATED AT ITEM 16 FOR OTHER THAN INVESTED ASSETS</b>		
1601. _____		
1602. _____		
1603. _____		
1604. _____		
1605. _____		
1698. Summary of remaining write-ins for Item 16 from overflow page	-	-
1699. TOTALS (Items 1601 thru 1605 plus 1698) (Page 2, item 16)	-	-

\* As reported on Prior Year End filed Annual Statement.

**REPORT #1 — PART B: LIABILITIES AND SURPLUS**

	Current Quarter	Previous Year *
	1 Total	2 Total
1.1 Unpaid claims (Schedule F Line 4, Col D + E, Page NY 11)	35,638,737	30,117,427
1.2 Additional amount required by Section 4706(a)(1)	-	-
1.3 Total claims payable	35,638,737	30,117,427
2. Premiums received in advance		
3. General expenses due or accrued		
4.1 Current federal income tax payable and interest thereon		
4.2 Net deferred tax liability		
5. Ceded reinsurance premiums payable		
6. Amounts withheld or retained for the account of others		
7. Borrowed money and interest thereon		
8. Payable for securities		
9. Funds held under reinsurance treaties		
10. Aggregate write-ins for other liabilities	-	-
11. Accounts payable (Schedule G, NY12)	324,091	470,985
12. Claim stabilization reserve	5,059,146	4,533,740
13. Unearned premiums	1,467,387	
14. Loans and notes payable	-	-
15. Aggregate write-ins for current liabilities	-	-
16. Total liabilities (Lines 1.3 to 15)	42,489,361	35,122,152
17. Aggregate write-ins for special surplus funds	-	-
18. Gross paid-in and contributed surplus		
19. Unassigned funds (surplus)	81,831,091	84,583,899
20. Surplus notes		
21. Surplus per Section 4706(a)(5) **	13,634,203	13,426,412
22. Total capital and surplus (Lines 17 to 21)	95,465,293	98,010,311
23. Total liabilities, capital, and surplus (Lines 16 + 22)	137,954,654	133,132,463
<b>DETAILS OF WRITE-INS AGGREGATED AT ITEM 10 FOR OTHER LIABILITIES</b>		
1001. _____		
1002. _____		
1003. _____		
1004. _____		
1005. _____		
1098. Summary of remaining write-ins for Item 10 from overflow page	-	-
1099. TOTALS (Items 1001 thru 1005 plus 1098) (Page 3, item 10)	-	-
<b>DETAILS OF WRITE-INS AGGREGATED AT ITEM 15 FOR CURRENT LIABILITIES</b>		
1501. _____		
1502. _____		
1503. _____		
1504. _____		
1505. _____		
1598. Summary of remaining write-ins for Item 15 from overflow page	-	-
1599. TOTALS (Items 1501 thru 1505 plus 1598) (Page 3, item 15)	-	-
<b>DETAILS OF WRITE-INS AGGREGATED AT ITEM 17 FOR SPECIAL SURPLUS FUNDS</b>		
1701. _____		
1702. _____		
1703. _____		
1704. _____		
1705. _____		
1798. Summary of remaining write-ins for Item 17 from overflow page	-	-
1799. TOTALS (Items 1701 thru 1705 plus 1798) (Page 3, item 17)	-	-

\* As reported on Prior Year End filed Annual Statement.

\*\* Calculation of current year reserves shown on NY14 (Schedule K).

## REPORT #2 STATEMENT OF REVENUE, EXPENSES AND SURPLUS

	Current Fiscal Year to Date	Prior Fiscal Year to Date	Prior Fiscal Year*	Current Fiscal Year to Date	Prior Fiscal Year*
	1 Total	2 Total	3 Total	4 PMPM	5 PMPM
1. Member Months	234,527	241,683	479,095	XXX	XXX
2. Net premium income:					
2.1 Basic	95,439,418	94,863,956	187,969,761	406.94	392.34
2.2 Drugs	40,902,607	40,655,981	80,558,469	174.40	168.15
2.3 Total	136,342,025	135,519,937	268,528,230	581.35	560.49
3. Change in unearned premium reserves and reserve for rate credits:					
3.1 Basic	-	-	-	-	-
3.2 Drugs	-	-	-	-	-
3.3 Total	-	-	-	-	-
4. Aggregate write-ins for other health care related revenues	25,013	255,029	231,923	0.11	0.48
5. Non-health revenues	17,379	157,433	2,019	XXX	XXX
6. Total revenues (Items 2 to 5)	136,384,417	135,932,399	268,762,172	581.53	560.98
<b>Hospital and Medical:</b>					
7. Hospital/medical benefits	51,614,352	37,992,415	84,780,005	220.08	176.96
8. Other professional services	33,306,577	28,400,954	62,448,160	142.02	130.35
9. Outside referrals	-	-	-	-	-
10. Emergency room and out-of-area	4,161,666	4,058,001	8,307,809	17.74	17.34
11. Prescription drugs	35,443,128	34,995,902	68,085,452	151.13	142.11
12. Aggregate write-ins for other hospital and medical	6,992,510	(2,107,316)	579,895	29.82	1.21
13. Incentive pool, withhold adjustments and bonus amounts	-	-	-	-	-
14. Aggregate write-ins for other expenses	525,406	(442,113)	569,478	2.24	1.19
15. Subtotal (Lines 7 to 14)	132,043,639	102,897,843	224,770,799	563.02	469.16
<b>Less:</b>					
16. Net reinsurance recoveries	(55,156)	(396,444)	(376,929)	(0.24)	(0.79)
17. Total hospital and medical (Lines 15-16)	132,098,795	103,294,287	225,147,728	563.26	469.94
18. Claims adjustment expenses, including cost containment expenses	-	-	-	-	-
19. General administrative expenses	-	-	-	-	-
19.1 Compensation	-	-	-	-	-
19.2 Interest expense	-	-	-	-	-
19.3 Occupancy, depreciation, and amortization	-	-	-	-	-
19.4 Marketing	-	-	-	-	-
19.5 Professional Fees	12,688	23,369	36,513	0.05	0.08
19.6 Administration Fees	4,657,566	4,150,446	9,217,859	19.86	19.24
19.7 Consulting Fees	-	-	-	-	-
19.8 Aggregate write-ins for other administrative expenses	2,160,386	2,021,677	3,319,558	9.21	6.93
19.9 Total administrative expenses	6,830,640	6,195,492	12,573,930	29.13	26.25
20. Increase in reserves for A&H contracts	-	-	-	-	-
21. Total underwriting deductions (Lines 17 to 20)	138,929,435	109,489,779	237,721,658	592.38	496.19
22. Net underwriting gain or (loss) (Lines 6 - 21)	(2,545,018)	26,442,620	31,040,514	(10.85)	64.79
23. Net investment income earned	-	-	360,743	-	0.75
24. Net realized capital gains or (losses) less capital gains taxes	-	-	-	-	-
25. Net investment gains or (losses) (Lines 23 + 24)	-	-	360,743	-	0.75
26. Aggregate write-ins for other income or expenses	-	-	-	-	-
27. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 22 + 25 + 26)	(2,545,018)	26,442,620	31,401,257	(10.85)	65.54
28. Federal income taxes incurred	-	-	-	-	-
29. Net income (loss) (Lines 27 - 28)	(2,545,018)	26,442,620	31,401,257	(10.85)	65.54
<b>DETAILS OF WRITE-INS AGGREGATED AT ITEM 4 FOR OTHER HEALTH CARE RELATED REVENUES</b>					
0401. Change in Non-admitted Receivables	25,013	255,029	231,923	0.11	0.48
0402. _____	-	-	-	-	-
0403. _____	-	-	-	-	-
0404. _____	-	-	-	-	-
0405. _____	-	-	-	-	-
0498. Summary of remaining write-ins for Item 4 from overflow page	-	-	-	-	-
0499. TOTALS (Items 0401 thru 0405 plus 0498) (Page 4, Item 4)	25,013	255,029	231,923	0	0
<b>DETAILS OF WRITE-INS AGGREGATED AT ITEM 12 FOR OTHER HOSPITAL AND MEDICAL</b>					
1201. Other Hospital and Medical	1,952,924	1,679,447	3,065,582	8.33	6.40
1202. Change in Claims Payable	5,039,586	(3,786,763)	(2,485,687)	21.49	(5.19)
1203. _____	-	-	-	-	-
1204. _____	-	-	-	-	-
1205. _____	-	-	-	-	-
1298. Summary of remaining write-ins for Item 12 from overflow page	-	-	-	-	-
1299. TOTALS (Items 1201 thru 1205 plus 1298) (Page 4, item 12)	6,992,510	(2,107,316)	579,895	30	1
<b>DETAILS OF WRITE-INS AGGREGATED AT ITEM 14 FOR OTHER EXPENSES</b>					
1401. Change in Stabilization Reserve	525,406	(442,113)	(193,442)	2.24	(0.40)
1402. Administrative portion needing to be under Hospital and Medical	-	-	762,920	-	1.59
1403. _____	-	-	-	-	-
1404. _____	-	-	-	-	-
1405. _____	-	-	-	-	-
1498. Summary of remaining write-ins for Item 14 from overflow page	-	-	-	-	-
1499. TOTALS (Items 1401 thru 1405 plus 1498) (Page 4, item 14)	525,406	(442,113)	569,478	2	1
<b>DETAILS OF WRITE-INS AGGREGATED AT ITEM 19.8 FOR OTHER ADMINISTRATIVE EXPENSES</b>					
19.801. PCORI and Reinsurance Fees	78,276	-	75,249	0.33	0.16
19.802. Covered Lives Assessment	1,967,649	1,948,371	3,853,642	8.39	8.04
19.803. AEA Fees	73,043	38,586	96,279	0.31	0.20
19.804. Miscellaneous Expenses	-	55	22,643	-	0.05
19.805. _____	-	-	-	-	-
19.898. Summary of remaining write-ins for Item 19.8 from overflow page	41,418	34,665	(728,255)	0	(2)
19.899. TOTALS (Items 19.801 thru 19.805 plus 19.898) (Page 4, item 19.8)	2,160,386	2,021,677	3,319,558	9	7
<b>DETAILS OF WRITE-INS AGGREGATED AT ITEM 26 FOR OTHER INCOME OR EXPENSES</b>					
2601. _____	-	-	-	-	-
2602. _____	-	-	-	-	-
2603. _____	-	-	-	-	-
2604. _____	-	-	-	-	-
2605. _____	-	-	-	-	-
2698. Summary of remaining write-ins for Item 26 from overflow page	-	-	-	-	-
2699. TOTALS (Items 2601 thru 2605 plus 2698) (Page 4, item 26)	-	-	-	-	-

\* As reported on Prior Year End filed Annual Statement.

**REPORT #2 STATEMENT OF REVENUE, EXPENSES AND SURPLUS (Continued)**

CAPITAL & SURPLUS ACCOUNT	Current Quarter	Previous Year *
	1 Total	2 Total
30. Capital and surplus prior reporting year	98,010,311	66,609,054
<b>GAINS AND LOSSES TO CAPITAL &amp; SURPLUS:</b>		
31. Net income or (loss) from Line 29	(2,545,018)	31,401,257
32. Change in valuation basis of aggregate policy and claim reserve		
33. Change in net unrealized capital gains and losses less capital gains tax		
34. Change in net deferred income tax		
35. Change in nonadmitted assets		
36. Change in unauthorized reinsurance		
37. Change in surplus notes	-	
38. Cumulative effect of changes in accounting principles		
39. Capital Changes		
39.1 Paid in		
39.2 Transferred to surplus		
40. Surplus adjustments:		
40.1 Paid in	-	
40.2 Transferred from capital		
41. Dividends to participating municipal corporations (or school districts)		
42. Change in surplus per Section 4706(a)(5)	207,791	854,982
43. Change in retained earnings/fund balance		
44. Interest on surplus notes		
45. Aggregate write-ins for changes in other net worth items	-	-
46. Aggregate write-ins for gains or (losses) in surplus	(207,791)	(854,982)
47. Net change in capital and surplus (Lines 31 to 46)	(2,545,018)	31,401,257
48. Capital and surplus end of reporting period (Line30 + 47)**	95,465,293	98,010,311
<b>DETAILS OF WRITE-INS AGGREGATED AT ITEM 45 FOR CHANGES IN OTHER NET WORTH ITEMS</b>		
4501. _____		
4502. _____		
4503. _____		
4504. _____		
4505. _____		
4598. Summary of remaining write-ins for Item 46 from overflow page	-	-
4599. TOTALS (Items 4501 thru 4505 plus 4598) (Page 5, item 45)	-	-
<b>DETAILS OF WRITE-INS AGGREGATED AT ITEM 46 FOR GAINS OR (LOSSES) IN SURPLUS</b>		
4601. Change in Surplus	\$ (207,791)	\$ (854,982)
4602. _____		
4603. _____		
4604. _____		
4605. _____		
4698. Summary of remaining write-ins for Item 46 from overflow page	-	-
4699. TOTALS (Items 4601 thru 4605 plus 4698) (Page 5, item 46)	(207,791)	(854,982)

\* As reported on Prior Year End filed Annual Statement.  
 \*\* Must agree with Page NY 3 Line 22



GENERAL INTERROGATORIES (Continued)

11. a) What is the percentage that the MCHBP uses for its claims payable reserve? 

Hospital and Medical	Prescription
17%	5%
- b) Is the percentage used for claims payable reserve equal to the minimum requirement of 25% as per Insurance Law § 4706(a)(1)? 

Yes [ ]	No [ X ]	Yes [ ]	No [ X ]
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- c) If b) is "No", did the MCHBP file a request to use a lower percentage with the Department of Financial Services as per Insurance Law § 4706(a)(1)? 

Yes [ X ]	No [ ]	Yes [ X ]	No [ ]
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- d) If c) is "Yes", answer the following:  
 i) When was the request filed with the Department of Financial Services? Date: 

08/12/15	08/12/15
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 ii) When was the request approved? Date: 

12/29/17	12/29/17
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 iii) **If approved, please attach a copy of the approval letter.**
12. a) Does the MCHBP set up its claim liability for hospital and other medical services on a service date basis? Yes [ X ] No [ ]
- b) If No, give details: \_\_\_\_\_
13. a) Was the MCHBP's prior year's annual statement amended? Yes [ ] No [ X ]
- b) If yes, furnish the following information regarding the last amendment to the prior year's annual statement filed with the MCHBP's state of domicile  
 i) Amendment number N/A  
 ii) Date of amendment N/A
14. Does the reporting entity keep a complete permanent record of the proceedings of its governing board and all subordinate committees thereof? Yes [ X ] No [ ]
15. a) What is the amount of payments for expenditures in connection with matters before legislative bodies, officers or departments of government, if any? \$0
- b) List the name of the firm paid and provide the amount if any such payment represented 5% or more of the total payment expenditures in connection with matters before legislative bodies, officers or departments of government during the period covered by this statement.
- | 1<br>Name | 2<br>Amount Paid |
|-----------|------------------|
| N/A       | N/A              |
|           |                  |
|           |                  |
|           |                  |
16. a) Does the MCHBP plan to refund any amounts in excess of reserves and surplus required by § 4706 of the New York Insurance Law and anticipated expenses in the plan's joint funds to participating municipal corporations (or school districts) during the next 90 days? Yes [ ] No [ X ]
- b) If a) is "Yes", provide the following:  
 i) Anticipated date of distribution. Date: N/A  
 ii) Anticipated amount of distribution. N/A
17. a) Has the MCHBP's current community rating methodology been filed with and approved by the superintendent as required by § 4705(d)(5)(B) of the New York Insurance Law? Yes [ X ] No [ ]
- b) If a) is "Yes", answer the following:  
 i) When was the request filed with the Department of Financial Services? Date: 10/26/17  
 ii) When was the request approved? Date: 10/26/17  
 iii) **If approved, please attach a copy of the current community rating methodology as well as the approval letter.**
- c) If a) is "No", give particulars, including when the community rating methodology will be filed with the Department of Financial Services:  
N/A  
N/A
18. a) Does the MCHBP maintain Stop-loss insurance as required by Insurance Law Section 4707(a)? Yes [ X ] No [ ]
- b) If a) is "No", was a waiver granted pursuant to Section 4707(b) of the Insurance Law? Yes [ ] No [ ]
- c) If b) is "Yes", answer the following  
 i) When was the request filed with the Department of Financial Services? Date: N/A  
 ii) When was the request approved? Date: N/A  
 iii) **If approved, please attach a copy of the approval letter.**
- d) If b) is "No", the MCHBP is in violation of Section 4707(a) of the Insurance Law. Please explain how the MCHBP intends to correct this violation?  
N/A
19. a) Has the MCHBP changed its CPA since the last Annual Statement filing? Yes [ ] No [ X ]
- i) If answer is Yes, did the MCHBP submit the required notifications as outlined in New York State Department of Financial Services Insurance Regulation No. 118 (11NYCRR 89.4(c))? Yes [ ] No [ ]
- ii) If answer is No, please attach the required notifications to this submission. In addition, please provide the following information for the new CPA:  
 iii) Name N/A  
 iv) Address \_\_\_\_\_  
 v) Telephone Number \_\_\_\_\_  
 vi) Email Address \_\_\_\_\_



SCHEDULE A — CASH AND CASH EQUIVALENTS

1	2	3	4	5	6	7	8	9
Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Received During Current Quarter	Amount of Interest Due & Accrued at end of Current Quarter	Balance
Depository -- Cash	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
M&T Checking		XXX	0.002	XXX	XXX	7,025	7,094	50,348,852
M&T Savings		XXX	0.001	XXX	XXX	311	4,753	-
JP Morgan Chase Savings		XXX	0.008	XXX	XXX	959	4,325	26,250,848
Five Star Bank account		XXX	0.003	XXX	XXX	1,070	1,207	1,207
		XXX		XXX	XXX	-	-	
		XXX		XXX	XXX			
		XXX		XXX	XXX			
		XXX		XXX	XXX			
		XXX		XXX	XXX			
0199999 Total -- Cash on Deposit	XXX	XXX	XXX	XXX	XXX	9,365	17,379	76,600,907
0299999 Cash in Company's Office	XXX	XXX	XXX	XXX	XXX	XXX	XXX	-
0399999 Total -- Cash	XXX	XXX	XXX	XXX	XXX	9,365	17,379	76,600,907
Description -- Cash Equivalent	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
RASHP II Required Cash Advance with Excellus			N/A					5,103,900
Five Star Bank CD			0.180					5,000,000
Five Star Bank CDARS account			21-27					49,000,000
0499999 Total -- Cash Equivalent	XXX	XXX	XXX	XXX	-	-	-	59,103,900
0599999 Total -- Cash and Cash Equivalent	XXX	XXX	XXX	XXX	\$ -	\$ 9,365	\$ 17,379	\$ 135,704,807
NOTE: Negotiable certificates of deposit to be reported in Schedule B.								



STATEMENT AS OF

June 30, 2021  
(Quarter Ending)

OF THE

Rochester Area School Health Plan II Municipal Cooperative Health Benefit  
Plan  
(Name)**SCHEDULE C — PREMIUMS RECEIVABLE (Other than Affiliates)**

Individually list all Municipal Corporations with account balances the greater of 10% of gross Premiums Receivable or \$5,000.

Name of Debtor	1 1-30 Days	2 31-60 Days	3 61-90 Days	4 Over 90 Days	5 Non-Admitted	6 Admitted
East Rochester UFSD	312,657				-	\$ 312,657
Monroe I BOCES	1,704,133				-	1,704,133
Pittsford CSD	1,914				-	1,914
Wheatland Chili CSD	231,143				-	231,143
					-	-
					-	-
					-	-
					-	-
					-	-
					-	-
0199999 Individually Listed Receivables	2,249,847	-	-	-	-	2,249,847
0299999 Receivables Not Individually Listed					-	-
0399999 Gross Premiums Receivable	2,249,847	-	-	-	-	2,249,847
0499999 Less Allowance for Doubtful Accounts						-
0599999 Premiums Receivable					-	2,249,847

## N.Y. SCHEDULE F — QUARTERLY UNPAID CLAIMS DEVELOPMENT SCHEDULE

A Description of Claims	Claims Paid During the Current Fiscal Year		Claims Unpaid at End of Current Quarter Viz: Estimated Liability at End of Current Quarter		F Total Claims Paid During the Fiscal Year and Claims Unpaid at End of Current Quarter on Claims Incurred in Prior Years (B + D)	G Estimated Liability of Unpaid Claims at End of Previous Fiscal Year	H Amount Unpaid Claims is Over or (Under) Reserved
	B On Claims Incurred Prior to the Current Fiscal Year	C On Claims Incurred During the Current Fiscal Year	D On Claims Unpaid at End of Previous Year	E On Claims Incurred During the Year			
1. Hospital & Medical Claims	9,144,594	48,584,348	-	19,902,022	9,144,594	16,329,972	7,185,378
2. Drug Claims	2,020,370	33,422,758	-	3,518,185	2,020,370	3,399,036	1,378,666
3. Other	3,478,989	29,827,588	-	12,218,530	3,478,989	10,388,419	6,909,430
4. TOTAL	14,643,953	111,834,694	-	35,638,737	14,643,953	30,117,427	15,473,474

NOTE: The sum of the amounts reported on Line 4, Column D+E must equal the amount reported on Page NY 3, Line 1.1, Column 1

NOTE: The amount reported on Line 4, Column G must equal the amount reported on Page NY 3, Line 1.1, Column 2, which must equal NY 3, Line 1.1, Column 1 of the previous annual statement.

NOTE: The Additional Amount Required by Section 4706(a)(1) of the New York Insurance Law is no longer included on this Schedule, but is now included on line 1.2 of page NY 3.



The columns for future quarters should be left blank; however, all previous quarters and prior year end columns should be completed. In addition, please note that you are not to add the current quarter to the previous quarter or prior year end values as these columns are an actual count as of the last day of the quarter and are not cumulative.

**SCHEDULE I-1 — PARTICIPATING MUNICIPAL CORPORATIONS (OR SCHOOL DISTRICTS)**

A	B Prior Year End	C 1st Quarter	D 2nd Quarter	E 3rd Quarter	F 4th Quarter
Number of Participating Municipal Corporations	19	19	19		

**SCHEDULE I-2 — EMPLOYEES AND RETIREES OF THE MUNICIPAL CORPORATIONS (OR SCHOOL DISTRICTS) ENROLLED**

A	B Prior Year End	C 1st Quarter	D 2nd Quarter	E 3rd Quarter	F 4th Quarter
Number of employees and retirees enrolled	14,695	14,631	14,534		

**SCHEDULE I-3 — ENROLLMENT DATA (PARTICIPANTS)**

A	B Prior Year End	C 1st Quarter	D 2nd Quarter	E 3rd Quarter	F 4th Quarter
Number of total lives covered	39,300	39,117	38,878		

## SCHEDULE K —CALCULATION OF SURPLUS PER SECTION 4706(a)(5)

	Current Quarter
1. Number of participating Municipal Corporations (or school districts)	19
2. Number of enrolled members	14,534
3. Maintains Stop-loss insurance as required by 4707(a)	Yes
4. Percentage used to calculate the Surplus per Section 4706(a)(5)	5.0%
5. Annualized Net premium income	272,684,050
6. Surplus per Section 4706(a)(5) using Annualized Net Premium Income	13,634,203
7. Surplus per Section 4706(a)(5) From last Fiscal Year Statement	13,426,412
8. Surplus per Section 4706(a)(5) to be reported on page NY 3, Line 21, Col 1	13,634,203





## OVERFLOW PAGE FOR WRITE-INS

	Current Quarter 1 Total	Prior Year to Date 2 Total	Previous Year * 3 Total	Current Quarter 4 PMPM	Previous Year * 5 PMPM
<b>Page NY 2</b>					
<b>DETAILS OF ADDITIONAL WRITE-INS AGGREGATED AT</b>					
<b>ITEM 8 FOR INVESTED ASSETS</b>					
0806.				XXX	XXX
0807.				XXX	XXX
0808.				XXX	XXX
0809.				XXX	XXX
0810.				XXX	XXX
0898. TOTALS (Items 0806 thru 0810)	-	-	-	XXX	XXX
<b>Page NY 2</b>					
<b>DETAILS OF ADDITIONAL WRITE-INS AGGREGATED AT</b>					
<b>ITEM 16 FOR OTHER THAN INVESTED ASSETS</b>					
1606.				XXX	XXX
1607.				XXX	XXX
1608.				XXX	XXX
1609.				XXX	XXX
1610.				XXX	XXX
1698. TOTALS (Items 1606 thru 1610)	-	-	-	XXX	XXX
<b>Page NY 3</b>					
<b>DETAILS OF ADDITIONAL WRITE-INS AGGREGATED AT</b>					
<b>ITEM 10 FOR OTHER LIABILITIES</b>					
1006.				XXX	XXX
1007.				XXX	XXX
1008.				XXX	XXX
1009.				XXX	XXX
1010.				XXX	XXX
1098. TOTALS (Items 1006 thru 1010)	-	-	-	XXX	XXX
<b>Page NY 3</b>					
<b>DETAILS OF ADDITIONAL WRITE-INS AGGREGATED AT</b>					
<b>ITEM 15 FOR CURRENT LIABILITIES</b>					
1506.				XXX	XXX
1507.				XXX	XXX
1508.				XXX	XXX
1509.				XXX	XXX
1510.				XXX	XXX
1598. TOTALS (Items 1506 thru 1510)	-	-	-	XXX	XXX
<b>Page NY 3</b>					
<b>DETAILS OF ADDITIONAL WRITE-INS AGGREGATED AT</b>					
<b>ITEM 17 FOR SPECIAL SURPLUS FUNDS</b>					
1706.				XXX	XXX
1707.				XXX	XXX
1708.				XXX	XXX
1709.				XXX	XXX
1710.				XXX	XXX
1798. TOTALS (Items 1706 thru 1710)	-	-	-	XXX	XXX
<b>Page NY 4</b>					
<b>DETAILS OF ADDITIONAL WRITE-INS AGGREGATED AT</b>					
<b>ITEM 4 FOR OTHER HEALTH CARE RELATED REVENUES</b>					
0406.				-	-
0407.				-	-
0408.				-	-
0409.				-	-
0410.				-	-
0498. TOTALS (Items 0406 thru 0410)	-	-	-	-	-
<b>Page NY 4</b>					
<b>DETAILS OF ADDITIONAL WRITE-INS AGGREGATED AT</b>					
<b>ITEM 12 FOR OTHER HOSPITAL AND MEDICAL</b>					
1206.				-	-
1207.				-	-
1208.				-	-
1209.				-	-
1210.				-	-
1298. TOTALS (Items 1206 thru 1210)	-	-	-	-	-
<b>Page NY 4</b>					
<b>DETAILS OF ADDITIONAL WRITE-INS AGGREGATED AT</b>					
<b>ITEM 14 FOR OTHER EXPENSES</b>					
1406.				-	-
1407.				-	-
1408.				-	-
1409.				-	-
1410.				-	-
1498. TOTALS (Items 1406 thru 1410)	-	-	-	-	-
<b>Page NY 4</b>					
<b>DETAILS OF ADDITIONAL WRITE-INS AGGREGATED AT</b>					
<b>ITEM 19.8 FOR OTHER ADMINISTRATIVE EXPENSES</b>					
19.806. Liability and Fiduciary Insurance	41,418	34,665	34,665	0	0
19.807. Administrative fee needing to be classified as Hospital and Medical		-	(762,920)	-	(2)
19.808.				-	-
19.809.				-	-
19.810.				-	-
19.898. TOTALS (Items 19.806 thru 19.810)	41,418	34,665	(728,255)	0	(2)
<b>Page NY 4</b>					
<b>DETAILS OF ADDITIONAL WRITE-INS AGGREGATED AT</b>					
<b>ITEM 26 FOR OTHER INCOME OR EXPENSES</b>					
2606.				-	-
2607.				-	-
2608.				-	-
2609.				-	-
2610.				-	-
2698. TOTALS (Items 2606 thru 2610)	-	-	-	-	-

\* As reported on Prior Year End filed Annual Statement.

**OVERFLOW PAGE FOR WRITE-INS**

	Current Quarter 1	Previous Year * 3
	Total	Total
<b>Page NY5</b>		
<b>DETAILS OF ADDITIONAL WRITE-INS AGGREGATED AT</b>		
<b>ITEM 45 FOR CHANGES IN OTHER NET WORTH ITEMS</b>		
4506.		
4507.		
4508.		
4509.		
4510.		
4598. TOTALS (Items 4506 thru 4510)	-	-
<b>Page NY5</b>		
<b>DETAILS OF ADDITIONAL WRITE-INS AGGREGATED AT</b>		
<b>ITEM 46 FOR GAINS OR (LOSSES) IN SURPLUS</b>		
4606.		
4607.		
4608.		
4609.		
4610.		
4698. TOTALS (Items 4606 thru 4610)	-	-

\* As reported on Prior Year End filed Annual Statement.