# <u>Updated: May 31, 2016</u>

Student Performance Category: Teachers			
	ELA/Math 4-8	All Other Classroom Teachers	
Required - State- provided Growth Score on State Assessments <sup>1</sup> 100% (At least 50%, locally determined, if an optional student performance measure is selected)	<ul> <li>State-provided teacher growth scores comparing student growth to those with similar past test scores and includes considerations for poverty, ELL, and SWD status<sup>2</sup></li> <li>Policies on Teacher of Record and linked students</li> <li>See below for teachers whose State-provided growth scores do not cover at least 50% of all students on the teacher's course rosters.</li> <li>During the 2015-16 through 2018-19 school years, such score and ratings shall only be used for purposes of calculating original scores and ratings.</li> </ul>	Additional grades/subjects covered by growth scores, as measures become available, based on existing and new State assessments	
Required - Growth Using Student Learning Objectives (SLOs) <sup>3</sup> 100% (At least 50%, locally determined, if an optional student performance measure is selected)	<ul> <li>All teachers who receive a State-provided growth score must also have a back-up SLO set by the Superintendent or his/her designee in case there are not enough students, not enough scores, or other unforeseen data issues that will not allow the Department to generate a growth score.</li> <li>Where the State-provided growth score covers less than 50% of a teacher's students, SLOs must be developed following the rules and options set forth for "all other classroom teachers."</li> </ul>	<ul> <li>For subjects associated with a State assessment or Regents exam (or, in the future, with any new State assessments): State/Regents assessment(s) must be used as the evidence for the SLO where they exist.<sup>4</sup></li> <li>For other grades/subjects where no State assessment or Regents exam currently exists, SLOs based on district-determined assessments from the options below:</li> <li>State-approved assessment consisting of the following: <ul> <li>State-approved third-party assessment<sup>5</sup></li> <li>State-approved district, regional, or BOCES-developed assessment<sup>6</sup></li> </ul> </li> <li>District- or BOCES-wide or school- or program-wide, group, team, or linked results based on State/Regents assessments or other student assessments approved by the Department.<sup>7</sup></li> </ul>	

<sup>1</sup> All measures must result in a 0-20 HEDI point score following the State's scoring ranges.

 $<sup>^{2}</sup>$  For the 2015-16 school year, State-provided growth scores will be calculated using the existing growth model. Factors may be updated in future school years depending on decisions by the Board of Regents. The Department will consult with stakeholders and technical experts on recommendations for future school years.

<sup>&</sup>lt;sup>3</sup> Student Learning Objectives shall be developed and approved by the Superintendent or his/her designee. All measures must result in a 0-20 HEDI point score following the State's scoring ranges.

<sup>&</sup>lt;sup>4</sup> During the 2015-16 through 2018-19 school years, measures based entirely (or in part, at district/BOCES discretion) on the grades 3-8 ELA and math State assessments or any State-provided growth scores must be excluded from the calculation of transition scores and ratings. Please also note that during the 2015-16 school year, for educators required to develop SLOs based on the NYSAA, each district/BOCES shall determine whether to use the NYSAA as the underlying assessment for such SLOs. Where a district determines not to use the NYSAA, the district must then set another SLO based on the list of permissible options (e.g., district- or BOCES-wide or school- or program-wide, group, or team results based on State assessments or other student assessments approved by the Department).

<sup>&</sup>lt;sup>5</sup> 3<sup>rd</sup> party assessments that are currently approved for use under Education Law §3012-c may be re-submitted by their providers, in an expedited manner, to be considered for use with SLOs. These assessments must be able to measure one year of expected growth.
<sup>6</sup> Assessments will be approved by the Department through an RFQ. All assessments that are submitted for use with SLOs must be able to measure one year's expected growth.

<sup>&</sup>lt;sup>7</sup> During the 2015-16 through 2018-19 school years, measures based entirely (or in part, at district/BOCES discretion) on the grades 3-8 ELA and math State assessments or any State-provided growth scores must be excluded from the calculation of transition scores and ratings.

Optional - Locally Selected Measures of	The same locally selected measures of student growth across all classrooms in the same grade/subject in the district/BOCES must be used in a consistent manner to the extent practicable.		
Student Growth <sup>8</sup>	the district boolds must be used in a consistent manner to the extent practicate.		
	Growth measures from these options:		
Up to 50%, locally	• A second State-provided growth score on a State-created or administered test <sup>9</sup> , provided that		
determined	this is different than the measure used for the required student performance subcomponent:		
	• Teacher-specific growth score computed by the State based on the percentage of		
	students who achieve a State-determined level of growth (e.g., the percentage of		
	students whose growth is above the median for similar students);		
	• School-wide growth results based on a State-provided school-wide growth score for all		
	students attributable to the school taking the State ELA or math assessment(s) in		
	grades 4-8:		
	<ul> <li>District- or BOCES-wide or school- or program-wide, group, team, or linked growth</li> </ul>		
	results using available State-provided growth scores computed in a manner determined		
	locally.		
	• Growth scores based on a State-designed supplemental assessment, calculated using a State-		
	provided or approved growth model. <sup>10</sup>		
	• Such growth score may include teacher-specific growth scores or a district- or		
	BOCES-wide or school- or program-wide, group, team, or linked results where a State-		
	provided or approved growth model is capable of generating such a score.		

Teacher Observation Category			
Menu of State-approved rubrics <sup>11</sup> (or State-approved variance to use alternative rubric) to assess performance based on the NYS Teaching Standards			
<b>Requirements and options based on practice rubric:</b> All observations for a teacher for the school year, and across observer types, must use the same approved rubric; provided that districts may locally determine whether to use different rubrics for teachers who teach different grades and/or subjects during the school year.			
Required - Observation by principal or other trained administrator At least 80%, locally determined	<ul> <li>At least one observation by building principal or other trained administrator: <ul> <li>Observations may occur live or by live or recorded video, as determined locally.</li> <li>Districts/BOCES may locally determine whether to use more than one observation by principal or other trained administrator.</li> <li>Nothing shall be construed to limit the discretion of management to conduct observations in addition to those required by this section for non-evaluative purposes.</li> <li>At least one of the mandatory observations must be unannounced.</li> <li>The frequency and duration of observations are locally determined.</li> </ul> </li> <li>Evaluators may select a limited number of observable rubric subcomponents for focus within a particular observation so long as all <u>observable</u> Teaching Standards/domains of the selected practice rubric (e.g., Domains 2 and 3 of the Danielson's 2013 Framework for Teaching) are addressed across the total number of observations.</li> <li>New York State Teaching Standards/domains that are part of the rubric but not observable during the classroom observation review or other natural conversations between the teacher and evaluator and incorporated into the observation score.</li> <li>Points shall not be allocated based on any artifacts, unless such artifact constitutes evidence of an otherwise observable rubric subcomponent (e.g., a lesson plan viewed during the classroom observation may constitute evidence of professional planning).</li> </ul>		

<sup>&</sup>lt;sup>8</sup> All measures must result in a 0-20 HEDI point score following the State's scoring ranges.

<sup>&</sup>lt;sup>9</sup> During the 2015-16 through 2018-19 school years, measures based entirely (or in part, at district/BOCES discretion) on the grades 3-8 ELA and math State assessments or any State-provided growth scores must be excluded from the calculation of transition scores and ratings.

<sup>&</sup>lt;sup>10</sup> Assessments on this list must be approved by the Department pursuant to an RFQ/RFP. These assessments must have a corresponding growth model that meets the requirements specified in the RFQ/RFP.

<sup>&</sup>lt;sup>11</sup> Rubrics approved for use under Education Law §3012-c will be available for use under §3012-d. Additional rubrics may be approved by the Department through an RFQ process.

Required - Observation by impartial independent trained evaluator At least 10%, locally determined	<ul> <li>At least one observation by impartial independent trained evaluator(s): <ul> <li>Observations may occur live or by live or recorded video, as determined locally.</li> <li>Impartial independent trained evaluators are trained and selected by district/BOCES.</li> <li>May be employed within the district, but may not be assigned to the same school building as the teacher being evaluated. This could include other administrators, department chairs, or peers (e.g., teacher leaders on career ladder pathways), so long as they are not from the same building (defined as same BEDS code) as the teacher being evaluated.</li> <li>Districts/BOCES may locally determine whether to use more than one observation by impartial independent trained evaluator(s).</li> <li>At least one of the mandatory observations must be unannounced.</li> <li>The frequency and duration of observations are locally determined.</li> </ul> </li> <li>Evaluators may select a limited number of observable rubric subcomponents for focus within a particular observation so long as all <u>observable</u> Teaching Standards/domains of the selected practice rubric (e.g., Domains 2 and 3 of the Danielson's 2013 Framework for Teaching) are addressed across the total number of observations.</li> <li>New York State Teaching Standards/domains that are part of the rubric but not observable during the classroom observation review or other natural conversations between the teacher and evaluator and incorporated into the observation score.</li> <li>Points shall not be allocated based on any artifacts, unless such artifact constitutes evidence of an otherwise observable rubric subcomponent (e.g., a lesson plan viewed during the course of the classroom observation may constitute evidence of professional planning).</li> </ul> <li>If a district is granted an annual Independent Evaluator Hardship Waiver by the Department, the second observation(s) shall be conducted by one or more evaluators selected and trained by the district, who are different than the evaluator(s) wh</li>
Optional – Observation by trained peer teacher Locally determined, consistent with the requirements that at least 80% of the overall Observation category score be based on observations by the principal/other trained administrator and at least 10% of the overall Observation category score be based on observations by impartial, independent trained evaluator(s) selected by the district	<ul> <li>May include at least one observation by trained peer teacher: <ul> <li>Trained peer teacher must have been rated Effective or Highly Effective on his or her overall rating in the prior school year.</li> <li>Observations may occur live or by live or recorded video, as determined locally.</li> <li>Peer teachers are trained and selected by district/BOCES.</li> </ul> </li> <li>Evaluators may select a limited number of observable rubric subcomponents for focus within a particular observation so long as all <u>observable</u> Teaching Standards/domains of the selected practice rubric (e.g., Domains 2 and 3 of the Danielson's 2013 Framework for Teaching) are addressed across the total number of observations.</li> <li>New York State Teaching Standards/domains that are part of the rubric but not observable during the classroom observation review or other natural conversations between the teacher and evaluator and incorporated into the observation score.</li> <li>Points shall not be allocated based on any artifacts, unless such artifact constitutes evidence of an otherwise observable rubric subcomponent (e.g., a lesson plan viewed during the course of the classroom observation may constitute evidence of professional planning).</li> </ul>

	Student Performance Category: Principals			
	Elementary/Middle and High Schools <sup>12</sup>	All Other Building Principals <sup>13</sup>		
Required - State- provided Growth Score on State Assessments <sup>14</sup> 100% (At least 50%, locally determined, if an optional student performance measure is selected)	<ul> <li>Elementary/Middle Schools</li> <li>Result of student growth measure as applied to State assessments in 4-8, ELA/math</li> <li>Add grades and/or subjects as growth measure applies</li> <li><u>High Schools (all of grades 9-12)</u></li> <li>Result of principal student growth percentile measure as applied to State assessments</li> <li>Growth in Regents examinations passed</li> <li>Add subjects as growth measure applies</li> <li>See below for principals whose State-provided growth scores do not cover at least 30% of all students in the principal's building/program.</li> </ul>	Additional building configurations/programs covered by growth scores, as measures become available, based on existing and new State assessments		
Required - Growth Using Student Learning Objectives (SLOs) <sup>15</sup> If principal has less than 30% of his/her students covered by the State-provided growth score 100% (At least 50%, locally determined, if an optional student performance measure is selected)	<ul> <li>All principals who receive a State-provided growth score must also have a back-up SLO set by the Superintendent or his/her designee in case there are not enough students, not enough scores, or other unforeseen data issues that will not allow the Department to generate a growth score.</li> <li>Where the State-provided growth score covers less than 30% of a principal's students, SLOs must be developed following the rules and options set forth for "all other building principals."</li> </ul>	<ul> <li>For subjects associated with a State assessment or Regents exam (or, in the future, with any new State assessments): State/Regents assessment(s) must be used as the evidence for the SLO where they exist.<sup>16</sup></li> <li>For other grades/subjects where no State assessment or Regents exam currently exists, SLOs based on district- determined assessments from the options below:</li> <li>District- or BOCES-wide results from State-created or administered tests, or other student assessments approved by the Department.</li> <li>State-approved assessment consisting of the following:</li> <li>State-approved third-party assessment<sup>17</sup></li> <li>State-approved district, regional, or BOCES- developed assessment<sup>18</sup></li> </ul>		

<sup>12</sup> During the 2015-16 through 2018-19 school years, measures based entirely (or in part, at district/BOCES discretion) on the grades 3-8 ELA and math State assessments or any State-provided growth scores must be excluded from the calculation of transition scores and ratings.

<sup>13</sup> During the 2015-16 through 2018-19 school years, measures based entirely (or in part, at district/BOCES discretion) on the grades 3-8 ELA and math State assessments or any State-provided growth scores must be excluded from the calculation of transition scores and ratings.

<sup>14</sup> All measures must result in a 0-20 HEDI point score following the State's scoring ranges.

<sup>15</sup> Student Learning Objectives shall be developed and approved by the Superintendent or his/her designee. All measures must result in a 0-20 HEDI point score following the State's scoring ranges.

<sup>16</sup> Please note that during the 2015-16 school year, for educators required to develop SLOs based on the NYSAA, each district/BOCES shall determine whether to use the NYSAA as the underlying assessment for such SLOs. Where a district determines not to use the NYSAA, the district must then set another SLO based on the list of permissible options (e.g., district- or BOCES-wide or school- or program-wide, group, or team results based on State assessments or other student assessments approved by the Department).

<sup>17</sup> 3<sup>rd</sup> party assessments that are currently approved for use under Education Law §3012-c may be re-submitted by their providers, in an expedited manner, to be considered for use with SLOs. These assessments must be able to measure one year of expected growth. <sup>18</sup> Assessments will be approved by the Department through an RFQ. All assessments that are submitted for use with SLOs must be able to measure one year's expected growth.

<u> </u>			
Optional	The same locally selected measures of student growth across all buildings with the same grade configuration		
Subcomponent:	or program in district/BOCES must be used.		
Locally Selected			
Measures of Student	Growth measures from these options:		
Growth <sup>19</sup>	• A second State-provided growth score <sup>20</sup> , provided that this is different than the measure used for the		
	required student performance subcomponent:		
Up to 50%, locally	• Principal-specific growth computed by the State based on the percentage of students who		
determined	achieve a State-determined level of growth (e.g., percentage of students whose growth is above the median for similar students).		
	• District- or BOCES-wide or school- or program-wide growth results using available State-		
	provided growth scores computed in a manner determined locally.		
	• Growth scores based on a State-designed supplemental assessment, calculated using a State- provided or approved growth model. <sup>21</sup> Growth scores may include district- or BOCES-wide or		
	school- or program-wide group, team, or linked results where the State-approved growth model is		
	capable of generating such a score.		

Principal School Visit Category			
Menu of State-approved rubrics <sup>22</sup> (or State-approved variance to use alternative rubric) to assess performance based on ISLLC 2008 standards			
	ed on practice rubric: All school visits for a principal for the year, and across observer types, must rovided that districts may locally determine whether to use different rubrics for a principal assigned to ons or building types.		
Required – School visit by supervisor or other trained administrator At least 80%, locally determined	<ul> <li>At least one school visit by supervisor or other trained administrator: <ul> <li>Districts/BOCES may locally determine whether to use more than one school visit by superintendent or other trained administrator.</li> <li>Nothing shall be construed to limit the discretion of a board of education or superintendent of schools from conducting additional school visits for non-evaluative purposes.</li> <li>At least one of the mandatory school visits must be unannounced.</li> <li>The frequency and duration of school visits are locally determined.</li> </ul> </li> <li>Evaluators may select a limited number of observable rubric subcomponents for focus within a particular school visit, so long as all observable ISLLC 2008 standards are addressed across the total number of annual school visit.</li> <li>Leadership Standards and their related functions that are part of the rubric but not observable during the course of the school visit may be observed through other natural conversations between the principal and the evaluator and incorporated into the school visit score.</li> <li>Points shall not be allocated based on any artifacts, unless such artifact constitutes evidence of a rubric subcomponent observed during a school visit.</li> <li>Professional goal-setting is a prohibited element of principal evaluations under Education Law §3012-d. However, organizational goal-setting may be used to the extent that it is evidence from the school visit and related to a component of the selected practice rubric.</li> </ul>		

<sup>&</sup>lt;sup>19</sup> All measures must result in a 0-20 HEDI point score following the State's scoring ranges.

<sup>&</sup>lt;sup>20</sup> During the 2015-16 through 2018-19 school years, measures based entirely (or in part, at district/BOCES discretion) on the grades 3-8 ELA and math State assessments or any State-provided growth scores must be excluded from the calculation of transition scores and ratings.

<sup>&</sup>lt;sup>21</sup> Assessments on this list must be approved by the Department pursuant to an RFQ/RFP. These assessments must have a corresponding growth model that meets the requirements specified in the RFQ/RFP.

<sup>&</sup>lt;sup>22</sup> Rubrics approved for use under Education Law §3012-c will be available for use under §3012-d. Additional rubrics may be approved by the Department through an RFQ process.

Required – School visit by impartial independent trained evaluator At least 10%, locally determined	<ul> <li>At least one school visit by impartial independent trained evaluator(s):</li> <li>Impartial independent trained evaluators are trained and selected by district/BOCES.</li> <li>May be employed within the district, but may not be assigned to the same school building as the principal being evaluated. This could include other administrators, department chairs/directors, or peers, so long as they are not from the same building (defined as same BEDS code) as the principal being evaluated.</li> <li>Districts/BOCES may locally determine whether to use more than one school visit by impartial independent trained evaluator(s).</li> <li>The frequency and duration of school visits are locally determined.</li> <li>Evaluators may select a limited number of observable rubric subcomponents for focus within a particular school visit, so long as all <u>observable</u> ISLLC 2008 standards are addressed across the total number of annual school visit may be observed through other natural conversations between the principal and their related functions that are part of the rubric but not observable during the course of the school visit may be observed through other natural conversations between the principal and the evaluator and incorporated into the school visit score.</li> <li>Points shall not be allocated based on any artifacts, unless such artifact constitutes evidence of a rubric subcomponent observed during a school visit.</li> <li>Professional goal-setting is a prohibited element of principal evaluations under Education Law §3012-d. However, organizational goal-setting may be used to the extent that it is evidence from the school visit sgranted an annual Independent Evaluator Hardship Waiver by the Department, the second school visit(s) shall be conducted by one or more evaluators selected and trained by the district, who are different than the evaluator(s) who conducted the school visit(s) required to be performed by the supervisor or other trained administrator.</li> </ul>
Optional – School visit by trained peer principal Locally determined, consistent with the requirements that at least 80% of the overall School Visit category score be based on school visits by the superintendent/other trained administrator and at least 10% of the overall School Visit category score be based on school visits by impartial, independent trained evaluator(s) selected by the district	<ul> <li>May include at least one school visit by trained peer principal: <ul> <li>Trained peer principals must have been rated Effective or Highly Effective on his or her overall rating in the prior school year.</li> <li>Trained peer principals are trained and selected by district/BOCES</li> </ul> </li> <li>Evaluators may select a limited number of observable rubric subcomponents for focus within a particular school visit, so long as all <u>observable</u> ISLLC 2008 standards are addressed across the total number of annual school visits. <ul> <li>Leadership Standards and their related functions that are part of the rubric but not observable during the course of the school visit may be observed through other natural conversations between the principal and the evaluator and incorporated into the school visit score.</li> </ul> </li> <li>Points shall not be allocated based on any artifacts, unless such artifact constitutes evidence of a rubric subcomponent observed during a school visit.</li> <li>Professional goal-setting is a prohibited element of principal evaluations under Education Law §3012-d. However, organizational goal-setting may be used to the extent that it is evidence from the school visit and related to a component of the selected practice rubric.</li> </ul>

## **Teacher and Principal Category and Overall Ratings**

Highly Effective	Effective	Developing	Ineffective
18-20 points	15-17 points	13-14 points	0-12 points
90-100% of students meeting or exceeding expected growth targets determined by the superintendent	75-89% of students meeting or exceeding expected growth targets determined by the superintendent	60-74% of students meeting or exceeding expected growth targets determined by the superintendent	0-59% of students meeting or exceeding expected growth targets determined by the superintendent

#### Teacher and Principal Performance Scoring Ranges<sup>23</sup>: SLOs

- Each performance measure (State-provided growth, SLO using State assessments, other SLOs, State-designed supplemental assessments, other additional measures using State-provided or approved growth model scores) must result in a score between 0-20.
- Multiple measures will be combined using a weighted average to produce an overall Student Performance category score between 0-20.
- This overall Student Performance score will be converted into a HEDI rating based on the ranges listed below:

	<b>Overall Student Performance Category Score and Rating</b>		
	Minimum	Maximum	
Н	18	20	
Е	15	17	
D	13	14	
Ι	0	12	

#### Teacher Observation/Principal School Visit Scoring Ranges:

	Overall Observation/School Visit Category Score and Rating		
	Min	Max	
Н	3.50 to 3.75	4.0	
E	2.50 to 2.75	3.49 to 3.74	
D	1.50 to 1.75	2.49 to 2.74	
I	024	1.49 to 1.74	

- Each set of observations/school visits (by supervisor/other trained administrator, independent, or peer) will be completed using a rubric with rating categories that are aligned to HEDI ratings and 1-4 levels. The 1-4 score for each set of observations/school visits will incorporate all evidence collected and observed over the course of the school year.
- Once all evaluations are complete, the different types of observations/school visits will be combined using a weighted average, producing an overall Observation/School Visit category score between 1-4.
- This overall Observation/School Visit score will be converted into a HEDI rating based on locally determined ratings consistent with the ranges listed above.

#### What is State-determined:

- Scoring ranges for the Required and Optional subcomponents of the Student Performance Category to determine an educator's rating category of Highly Effective, Effective, Developing, and Ineffective (HEDI)
- The minimum and maximum scores aligned to each of the HEDI rating categories for the Teacher Observation/Principal School Visit categories.
- Minimum growth targets for SLOs under the Required subcomponent of the Student Performance category representing at least one year of expected student growth and following State guidance.
- The weights for all subcomponents of the Student Performance and Teacher Observation/Principal School Visit categories to

<sup>&</sup>lt;sup>23</sup> All assessments used for APPR purposes must be capable of generating a growth score from 0-20.

 $<sup>^{24}</sup>$  In the event that an educator earns a score of 1 on all rated components of the practice rubric across all observations, a score of 0 will be assigned.

### assign a final rating category of HEDI.

#### What is locally-established through negotiations:

- Whether to use the Optional subcomponent of the Student Performance category, and which option will be used.
- Whether to use the Optional subcomponent of the Teacher Observation/Principal School Visit category.
- How to implement the Teacher Observation/Principal School Visit categories, consistent with the corresponding Commissioner's Regulations, including, but not limited to, the scoring ranges aligned to each HEDI category within the parameters determined by the State.

#### **Additional Notes**

- The process by which weights and scoring ranges are assigned to subcomponents and categories must be transparent and available to those being rated before the beginning of each school year.
- Districts and collective bargaining units, where one exists, must certify that the process for assigning ratings will use the scoring bands and weighting processes specified in the regulations.
- Superintendents must certify that all individual growth targets used for SLOs represent, at a minimum, one year of expected growth consistent with State guidance.
- All teachers/principals who receive a State-provided growth score, must also have back-up SLOs set by the Superintendent or his/her designee in case there are not enough students, not enough scores, or other unforeseen data issues that will not allow the Department to generate a growth score.
- Annual Professional Performance Reviews conducted pursuant to a collective bargaining agreement entered into on or before April 1, 2015 and which remain in effect on or after April 1, 2015, shall be conducted pursuant to that agreement until a subsequent agreement is reached.
- Pursuant to Education Law §3012-d(6), the following elements may no longer be used in any evaluation subcomponent:
  - Evidence of student development and performance derived from lesson plans, other artifacts of teacher practice, and student portfolios, except for student portfolios measured by a state-approved rubric where permitted by the department;
  - Use of an instrument for parent or student feedback;
  - Use of professional goal-setting as evidence of teacher or principal effectiveness;
  - o Any district or regionally-developed assessment that has not been approved by the department; and
  - Any growth or achievement target that does not meet the minimum standards as set forth in Commissioner's regulations.
- The entire Annual Professional Performance Review shall be completed and provided to the teacher or the principal as soon as practicable but in no case later than September 1 of the school year next following the school year for which the teacher or principal's performance is measured. The teacher's and principal's score and rating on the observation/school visit category and in the student performance category, if available, shall be computed and provided to the teacher or principal, in writing, by no later than the last day of the school year for which the teacher or principal is being measured, but in no case later than September 1 of the school year for which the teacher or principal's performance is measured.
- During the 2015-16 through 2018-19 school years, educators whose APPRs are based, in whole or in part, on the results of
  the grades 3-8 ELA or math State assessments and/or State-provided growth scores shall be provided with their APPR
  transition scores and ratings as soon as practicable but in no case later than September 1<sup>st</sup> of the school year following the
  evaluation year. During the 2015-16 through 2018-19 school years, such educators shall also be provided with their original
  composite rating by September 1<sup>st</sup> of the school year following the evaluation year or as soon as practicable thereafter.
- Upon rating a teacher or a principal as Developing or Ineffective overall through an Annual Professional Performance Review conducted pursuant to Education Law §3012-d and Subpart 30-3 of the Rules of the Board of Regents, a district shall formulate and commence implementation of a teacher or principal improvement plan for such teacher or principal by October 1 in the school year following the school year for which such teacher's or principal's performance is being measured or as soon as practicable thereafter. Districts/BOCES shall only develop and implement TIPs and PIPs during the 2016-17 through 2019-20 school years for those educators whose overall composite *transition* ratings received during the 2015-16 through 2018-19 school years are Developing or Ineffective.